



ANTITRUST ENFORCEMENT AWARDS

PROCEDURES

The American Antitrust Institute (AAI) 2017 Antitrust Enforcement Awards will recognize achievements in antitrust litigation by legal practitioners and economists. The Awards will be presented at a gala dinner on Wednesday, November 7, 2017, following the AAI's Annual Private Antitrust Enforcement Conference.

Judging Committee:

A Judging Committee shall be established by appointments made by the AAI. Appointments shall be for an initial term of one year. Judges shall be ineligible to receive an award. Judges also shall be recused from voting on a nominee if: (1) they played any role in the preparation of the nominee's case under consideration for an award; (2) they work for an organization that employs the nominee under consideration for an award, or have any financial connection with such nominee; or (3) if they worked on another case with, or opposite to, such nominee in the preceding 18-month period. Should any dispute arise about the need for recusal that cannot be resolved to the satisfaction of all members of the Judging Committee, the matter shall be finally determined by the Committee Chair, or, if the Committee Chair is recused, by the Committee Vice Chair.

The Judging Committee shall consist of no more than fourteen, nor less than six, members. A Committee Chair shall be appointed to preside over the Judging Committee, and one member of the Judging Committee shall be designated as Vice Chair. The AAI President shall endeavor to achieve a reasonable degree of diversity in these appointments with respect to occupation in private and academic sectors, and professional background in law and economics.

Award Qualifications:

To become eligible for consideration, nominations must relate to antitrust-focused litigation that:

- (a) was initiated in or appealed in any U.S. state or federal court; and
- (b) resulted (whether or not subject to appeal) in a final judgment, verdict, dismissal, conviction, injunction, order, or settlement between July 1, 2016 and June 30, 2017.

Criteria:

Awards shall be granted in three categories, the requirements and criteria for which are outlined in detail below.

Outstanding Antitrust Litigation Achievement in Private Law Practice

This award is limited to private civil actions. Nominees can include a law firm, a law firm team, or individual practitioner(s). Nominees will be judged on:

- (a) the benefit realized by the client, consumers, or class; and
- (b) the positive development of antitrust policy.

Outstanding Antitrust Litigation Achievement by a Young Lawyer

This award is limited to private civil actions. Nominees should be individual practitioner(s) under 40 years old or admitted to practice for 10 years or less. Nominees will be judged on:

- (a) the individual's contribution to the case;
- (b) the benefit realized by the client, consumers, or class; and
- (c) the positive development of antitrust policy.

Outstanding Antitrust Litigation Achievement in Economics

This award is limited to contributions within government or private civil or criminal antitrust litigation by an individual economist, team of economists, or economic consulting firm.

Nominees will be judged on:

- (a) contribution to the development of antitrust-related economic methodology, analysis, and/or presentation; and
- (b) the positive development of antitrust policy.

Procedures:

Form of Nominations. The AAI shall create and disseminate a simple form for submission of nominations for the awards. Submissions may be made by any person, including members of the Judging Committee, except that a Committee member's nomination shall be void if it would require the member's recusal in any award category. Both third-party and self-nominations are accepted. Nominators may enter multiple nominations. Anonymous nominations will not be accepted. There is no submission fee.

Timing of Nominations. A call for submissions will be made on April 3, 2017, with reminders issued (as needed) during the remainder of the submission period. The submission period will close on July 15, 2017. The Judging Committee will determine eligibility of nominations within each category between July 16, 2017 and August 30, 2017. Nominations received prior to the close of the submission period may be supplemented by or with the approval of the Committee in its discretion, in the interest of fairness.

Committee Vote on Honorees. A vote to determine the honorees in each category shall be initiated between September 1, 2017 and September 15, 2017. Committee members may vote for multiple nominees in each category, and multiple honorees may be selected in each category. Nominees become honorees if they receive a majority vote of non-recused, non-abstaining members of the Judging Committee ("Majority Vote"). An absentee Committee member shall be deemed to have abstained. In the event that none of the nominees for an award earns a Majority Vote, the Leading Vote Getter, as measured by the percentage of eligible votes received, shall be an honoree.

Ties. In the event that none of the nominees for an award earns a Majority Vote, and two or more nominees tie as the Leading Vote Getter as described above, each of the tied nominees shall be honorees.

Maximum Number of Honorees. There shall be no more than four honorees in each award category. In the event that more than four honorees earn a Majority Vote in any category, the Committee Chair shall call for runoff voting until the Committee agrees to a total of four honorees in that category.

Form of Voting and Runoff Voting. Judges, including the Committee Chair and Vice Chair, shall vote by secret ballot in a survey to be administered by AAI. The survey shall provide a full list of eligible nominees and instruct Judges to place a tick mark next to their choices of honoree(s) and to leave blank the remaining nominee(s). If the event that runoff voting is required, the form of the runoff vote(s) shall be determined by the Committee Chair in consultation with AAI, except that the vote shall be by secret ballot.

Honorees will be notified of their selection on or about September 18, 2017, at which time they will also be notified that they are requested to be present at the November 7, 2017 gala dinner to accept their award.

Recognition of Awards:

Honorees will be presented with an engraved trophy. Should honorees wish to purchase additional matching trophies for their teammates and other firm members, they can do so for an additional fee per trophy.